

No.: 751 /DHG-FN

Cantho, 30th July 2019

**REPORT ON CORPORATE GOVERNANCE
OF DHG PHARMACEUTICAL JOINT STOCK COMPANY**
(The first 6 months of 2019)

Kind Attn to: - **State Securities Commission**
 - **Ho Chi Minh City Stock Exchange**

- Company's name: DHG Pharmaceutical Joint Stock Company
- Address: 288 Bis Nguyen Van Cu Str., An Hoa Ward, Ninh Kieu Dist., Cantho City
- Tel: 02923 891433 Fax: 02923 895209
- Email: dhgpharma@dhgpharma.com.vn
- Charter capital: VND **1,307,460,710,000**
- Stock ticker: DHG

I. Activities of the General Meeting of Shareholders:

No.	Resolution	Date	Content
1.	001/2019/ NQ.DHĐCĐ	11 June 2019	<p>The Annual General Meeting of Shareholders (AGM) 2018 approved the following contents:</p> <ul style="list-style-type: none"> - Report of the Board of Directors (BOD) regarding the report on the business performance in Term III (2014 – 2018); Business performance in 2018; Strategic orientation 2019 – 2023; Business orientation and plans in 2019. - Report of the Board of Supervisory (BOS) Term III (2014 – 2018). - Audited consolidated financial statements FY 2018. - Business targets in 2019. - Profit distribution in 2018 and profit distribution plan in 2019. - Selection of auditor for financial statements FY 2019. - Dismissal from the Supervisor position to Ms. Nguyen Phuong Thao since 25 Oct 2018. - Amendments and supplements of business lines. - Change of the organizational structure, management and control from the BOS model to the Audit Committee model under the BOD of DHG Pharma. - Amendments and supplements of the Charter of DHG Pharma. - Amendments and supplements of the Internal Regulation on Corporate Governance. - Election of the BOD members Term IV (2019 – 2023).

II. The Board of Directors (the first 6 months of 2019):

1. Information about the Board of Directors' members

The BOD members Term III (2014 – 2018): before 11 June 2019

No.	BOD members	Position	Starting date to be a BOD member	Meeting attendance	Rate	Reasons for absence
1.	<i>Mr. Nguyen Chi Thanh</i>	BOD Chairman	26 Oct 2017	7/7	100%	
2.	<i>Mrs. Dang Thi Thu Ha</i>	BOD Member	28 July 2017	7/7	100%	
3.	<i>Mdm. Pham Thi Viet Nga</i>	BOD Member	08 Sept 2004	7/7	100%	
4.	<i>Mr. Doan Dinh Duy Khuong</i>	BOD Member Interim General Director	29 Apr 2010	7/7	100%	
5.	<i>Mr. Jun Kuroda</i>	BOD Member	15 Aug 2016	7/7	100%	
6.	<i>Mr. Tran Chi Liem</i>	Independent BOD member	28 Apr 2014	7/7	100%	
7.	<i>Mr. Phan Minh Tien</i>	Independent BOD member	28 Mar 2018	7/7	100%	

The AGM 2018 dated 11 June 2019 conducted election of the BOD members Term IV (2019 – 2023), with members and positions as follows:

No.	BOD members	Position	Starting date to be a BOD member
1.	<i>Mrs. Dang Thi Thu Ha</i>	BOD Chairman	28 July 2017
2.	<i>Mr. Jun Kuroda</i>	BOD Member	15 Aug 2016
3.	<i>Mr. Masashi Nakaura</i>	BOD Member	11 June 2019
4.	<i>Mr. Maki Kamijo</i>	BOD Member	11 June 2019
5.	<i>Mr. Doan Dinh Duy Khuong</i>	BOD Member Interim General Director	29 Apr 2010
6.	<i>Mr. Phan Minh Tien</i>	Independent BOD member	28 Mar 2018
7.	<i>Mr. Do Le Hung</i>	Independent BOD member	11 June 2019

2. Supervising activities of the Board of Directors over the Board of Management

Supervising and supporting activities of the BOD over the Board of Management (BOM) include:

- Supervising the implementation of Resolutions of the General Meeting of Shareholders, Minutes and Resolutions of the BOD.
- Assessing and giving feedback on management activities in terms of investment, finance, market, sales, human resources, production and quality.

3. Activities of Committees under the Board of Directors

The BOD Term IV (2019 – 2023) has set up 03 Committees, including Strategic Committee, Nomination Committee and Audit Committee. Quarterly, Committees organize meetings and report to the BOD within the scope of assignments.

- Strategic Committee: Developing strategies for production and business in 2019; Developing mid-term plans for development, strategic objectives and business targets up to 2020; Giving advice in terms of the dividend rate in 2019 for the BOD; Quarterly assessing the implementation of the strategies.
- Nomination Committee: Building training programs in 2019; Proposing and advising the BOD on development of human resources and senior managers; Preparing quarterly and annual HR reports.
- Audit Committee: Checking the internal control system and checking the compliance with the operation principles, management, laws, financial regime, accounting, policies, resolutions and decisions of the Company and its subordinates. Checking the compliance in management and administration of business activities, accounting, statistics and preparing financial statements. Preparing the Audit Committee's operational regulations and submitting to the BOD for approval.

4. Resolutions of the Board of Directors

In the first 6 months of 2019, the BOD conducted 03 face-to-face meetings and 05 meetings via email to resolve issues under the BOD's functions and authorities.

No.	Resolution No.	Date	Contents of Resolutions
1.	001/2019/ NQ.HĐQT	26 Feb 2019	Approval of the BOD Secretary's report; the BOM report; CAPEX Report; Report of the Strategic Committee; Report of the Nomination and Remuneration Committee; Report of the Audit and Risk Management Committee; Report on the receipts, expenditures and balance of remuneration fund of the BOD and BOS in 2018. Approving to postpone the AGM 2018 and other contents within the BOD's authorities.
2.	002/2019/ NQ.HĐQT	06 Mar 2019	Approval of the public offer to acquire shares of DHG Pharmaceutical Joint Stock Company of Taisho Pharmaceutical Co., Ltd.
3.	003/2019/ NQ.HĐQT	01 Apr 2019	Approving the policy of capital transfer or asset liquidation for dissolution of Fuji Medic Limited Liability Company.
4.	004/2019/ NQ.HĐQT	18 Apr 2019	Approval of the business and budget plan FY 2019; the profit distribution in 2018 and profit distribution plan in 2019 submitted to the AGM 2018. Approval of the dissolution of DHG Pharma's Branch in Tay Ninh Province. Approval of the BOS Statement regarding the selection of an independent auditor for financial statements FY 2019. Approval of changing the organizational structure, management and control of DHG Pharma submitted to the AGM 2018.

No.	Resolution No.	Date	Contents of Resolutions
			Approval of the time and location to hold the AGM 2018 and other contents within the BOD's authorities.
5.	005/2019/ NQ.HDQT	09 May 2019	Approval of the BOD Secretary's Report; the BOM report; CAPEX report; Report of the Strategic Committee; Report of the Nomination and Remuneration Committee Q1/2019. Approval of documents and contents related to the AGM 2018.
6.	006/2019/ NQ.HDQT	27 May 2019	Approval of the official documents submitted to the AGM 2018.
7.	007/2019/ NQ.HDQT	31 May 2019	Approval of the proposal nominating Mr. Phan Minh Tien (Born in 1970) and Mr. Do Le Hung (Born in 1969) to be independent BOD members Term IV (2019 – 2023). Approval of the BOD Statement on the number and member structure of the BOD, list of candidates for the BOD, voting rules of the BOD Term IV (2019 – 2023) submitted to the AGM 2018.
8.	008/2019/ NQ.HDQT	11 June 2019	Came into an agreement on the appointment of Mrs. Dang Thi Thu Ha as the BOD Chairwoman of DHG Pharma Term IV (2019 – 2023). Appointing personnel for Committees under the BOD. Continuously extending the term of office from 11 June 2019 to 31 Dec 2019 for: + Mr. Doan Dinh Duy Khuong – Position: Legal Representative, Interim General Director. + Mr. Tomoyuki Kawata - Position: Deputy General Director in charge of Production, Supply Chain and Technical Transfer. + Mrs. Nguyen Ngoc Diep – Position: Deputy General Director in charge of Production and Quality. + Mr. Atsushi Toyoshima – Position: Supply Chain Director. Continuously extending the term of office as Deputy General Director in charge of Finance and Back-office for Mr. Le Chanh Dao from 11 June 2019 until reaching his retirement (July/2019). Continuously appointing Ms. Le Thi Hong Nhung to be the person in charge of Corporate Governance and the BOD Secretary Term IV (2019 – 2023). Implementing the AGM Resolution 2018 on 11 June 2019.

III. The Board of Supervisory/the Audit Committee (the first 6 months of 2019):

1. Information about members of the Board of Supervisory: before 11 June 2019

No.	BOS members	Position	Starting date to be a BOS member	Meeting attendance	Rate	Reason for absence
1.	Mr. Tran Quoc Hung	Head of the BOS	08 Sept 2004	2/2	100%	
2.	Mr. Tran Trung Kien	Supervisor	28 Mar 2018	2/2	100%	
3.	Mrs. Nguyen Phuong Thao	Supervisor	26 Apr 2012			Submitted resignation letter from 25 Oct 2018

The AGM 2018 dated 11 June 2019 approved to change the organizational structure, management and control from the BOS model to the Audit Committee model under the BOD of DHG Pharma.

No.	Members of the Audit Committee	Position	Starting date to be a member of the Audit Committee
1.	Mr. Do Le Hung	Head	11 June 2019
2.	Mrs. Dang Thi Thu Ha	Member	11 June 2019
3.	Mr. Maki Kamijo	Member	11 June 2019

2. Supervising activities of the Board of Supervisory over the Board of Directors, the Executive Board, and shareholders

- The BOS attended all of the BOD meetings and contributed opinions in accordance with the BOS's functions and duties.
- Periodically, the BOS submitted reports and recommendations in writing to the BOD and BOM. All these recommendations of the BOS are recognized and implemented.

3. The coordination among the Board of Supervisory with the Board of Directors, the Executive Board and other managers

- The BOD and BOM created favorable conditions for the BOS by providing sufficient information on the Company's production and business situation as well as financial situation.
- The BOS, BOD, and BOM worked closely to promptly solve the obstacles during the inspection and control process of the BOS.

4. Other activities of the Board of Supervisory (if any)

- The BOS reviewed and recommended independent auditors to the AGM.

IV. Corporate Governance Training:

Several members of the BOD, BOM and BOD Secretary were granted corporate governance certificates. Other members are foreigners, so they have not been granted corporate governance certificates.

V. List of related persons of the Company in accordance with Clause 34, Article 6 of the Law on Securities and transactions between the Company's related persons with the Company itself (Report in the first 6 months of 2019)

1. List of related persons of the Company

Annex I: List of related persons of the Company has been enclosed.

2. Transactions between the Company and its related persons; or between the Company with major shareholders, internal persons, related persons of internal persons:

The largest shareholder of the Company is Taisho Pharmaceutical Co., Ltd which owned 51.01% and the State Capital Investment Corporation ("SCIC") which owned 43.31% of the Company's share capital.

In the first 6 months of 2019, the following transactions were carried out with related parties:

	For the 6-month period ended	
	30.06.2019 VND	30.06.2018 VND
Purchases of goods and services Associate Vinh Hao Algae Processing Joint Stock Company	1,589,972,400	421,846,000
Sales of goods and services Subsidiary of Taisho Group Hoe Pharmaceuticals Sdn.Bhd	1,728,473,580	-
Supporting product development costs Taisho Pharmaceutical Co., Ltd	1,026,346,453	-
Dividend declared SCIC	113,252,474,000	113,252,474,000
Taisho Pharmaceutical Co., Ltd	91,522,172,000	63,912,192,0000

3. Transactions between the Company's internal persons, related persons of internal persons with subsidiaries and the company controlled by DHG Pharma: Nil

4. Transactions between the Company and other subjects:

4.1. Transactions between the Company and the company in which the BOD members, BOS members, Executive Director (General Director) were or have been founding members or BOD members, Executive Director (General Director) in recent three (03) years (calculated from the time of making the report): Nil *(excluding transactions between DHG Pharma and DHG Pharma's subsidiaries)*

4.2. Transactions between the Company and the company in which a related person of the BOD member, BOS member, Executive Director (General Director) is a member of the BOD, Executive Director (General Director): Nil

4.3. Other transactions of the Company (if any) can bring material or non-material benefits to the BOD members, BOS members, Executive Director (General Director): Nil

VI. Transactions between internal shareholders and related persons (6Ms/2019):

1. List of internal persons and their related persons:

Annex II: List of internal persons and their related persons has been enclosed.

2. Transactions of internal persons and related persons on the Company's shares:

Annex III: Transactions of internal persons and related persons on the Company's shares has been enclosed.

VII. Other significant issues: Nil.

Recipients:

As above;

Archived: AT, FN

**CHAIRMAN OF
THE BOARD OF DIRECTORS**

DANG THI THU HA

[Notice: This convocation notice is a translation of the vietnamese language original for convenience purpose only, and in the event of any discrepancy, the vietnamese language original shall prevail.]

ANNEX I: LIST OF RELATED PERSONS OF THE COMPANY

No.	Name of individual/organization	Securities trading account (if any)	Position at the company (if any)	ID no./ Business registration no.	Issued date of ID/ Business registration	Issued place of ID/ Business registration	Address	Time of starting to be related person	Time of ending to be related person	Reasons
I. Related persons of the Company										
1	Nguyen Chi Thanh		Former BOD Chairman					26 Oct 2017	11 June 2019	End of Term III (2014 - 2018)
2	Dang Thi Thu Ha		BOD Chairman					28 July 2017		
3	Pham Thi Viet Nga		Former BOD member					08 Sept 2004	11 June 2019	End of Term III (2014 - 2018)
4	Doan Dinh Duy Khuong		BOD member Interim General Director					29 Apr 2010		
5	Tran Chi Liem		Former BOD member					28 Apr 2014	11 June 2019	End of Term III (2014 - 2018)
6	Jun Kuroda		BOD member					15 Aug 2016		
7	Masashi Nakaura		BOD member					11 June 2019		
8	Maki Kamijo		BOD member					11 June 2019		
9	Phan Minh Tien		BOD member					28 Mar 2018		
10	Do Le Hung		BOD member					11 June 2019		
11	Le Chanh Dao		Deputy General Director					08 Sept 2004		
12	Nguyen Ngoc Diep		Deputy General Director cum Quality Director					01 May 2012		
13	Tomoyuki Kawata		Deputy General Director					25 Oct 2017		
14	Tran Quoc Hung		Head of the BOS					08 Sept 2004	11 June 2019	End of Term III (2014 - 2018)
15	Nguyen Phuong Thao		Supervisor					26 April 2012	25 Oct 2018	According to resignation letter
16	Tran Trung Kien		Supervisor					28 Mar 2018	11 June 2019	End of Term III (2014 - 2018)
17	Le Thi Hong Nhung		Finance Director – BOD Secretary - Authorized person to disclose information - The person in charge of Corporate Governance					29 Oct 2010		
18	Lam Dieu Phi		Marketing Director					01 May 2016		
19	Nguyen Hoang Nam		Production Director					10 May 2018		
20	Huynh Thi Kim Tui		Distribution Center Director					01 Jan 2017		
21	Vo Van Kim Y		Technical Director					01 Aug 2018		
22	Atsushi Toyoshima		Supply Chain Director					01 Jan 2018		
23	Ho Buu Huan		Chief Accountant					14 July 2016		
II. Related organization										
1	Fuji Medic Limited Liability Company		Subsidiary (51% owned by DHG Pharma)					27 July 2016		

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ANNEX II: LIST OF INTERNAL PERSONS AND THEIR RELATED PERSONS

No.	Name of individual/organization	Securities trading account (if any)	Position at the company (if any)	ID no./ Business registration no.	Issued date of ID/ Business registration	Issued place of ID/ Business registration	Address	Number of shares owned (*)	Percentage of share ownership (*)	Note
1	Dang Thi Thu Ha		BOD Chairman					34,000,000	26.00%	Individual ownership: 0 share; SCIC representative: 34,000,000 shares
Related individuals										
1.1	Do Viet Dung		Husband							
1.2	Do Viet Thang		Offspring							
1.3	Do Khanh Giang		Offspring							
1.4	Dinh Thi Van		Mother							
1.5	Dang Hong Son		Sibling							
1.6	Dang Thi Thu Thuy		Sibling							
Related organizations										
1.1	Vietnam Dairy Products Joint Stock Company		BOD member							
1.2	Benovas Oncology Joint Stock Company		BOD member							
2	Doan Dinh Duy Khuong		BOD member, Interim General Director					22,662,474	17.33%	Individual ownership: 36,237 shares; SCIC representative: 22,626,237 shares
Related individuals										
2.1	Doan Vinh Quang		Father							
2.2	Nguyen Thi Ngoc Diep		Mother							
2.3	Ha Thi Anh Tuyet		Wife							
2.4	Doan Dinh Duy Nguyen		Sibling					1,780	0.00%	
2.5	Doan Dinh Duy Dong		Sibling							
2.6	Doan Dinh Tuan Huy		Sibling					3,769	0.00%	
2.7	Doan Ha Anh		Offspring							
2.8	Doan Van Anh		Offspring							
3	Jun Kuroda		BOD member					0		
Related individuals										
3.1	Akira Kuroda		Father							
3.2	Akiko Kuroda		Wife							
3.3	Namiko Kuroda		Offspring							
3.4	Shin Kuroda		Sibling							
Related organizations										
3.1	Taisho Pharmaceutical Co., Ltd. Japan		Member of the Board, Senior Executive Officer					66,697,052	51.01%	
3.2	Taisho Co., Ltd. Shanghai		Chairman of the Board							
3.3	Taisho Pharmaceutical California Inc.		President Director							
3.4	Taisho Pharmaceutical (M) Sdn Bhd. (Malaysia)		Director							
3.5	Taisho Pharmaceutical Singapore Private Limited		Managing Director							
3.6	Osotspa Taisho Pharmaceutical Co., Ltd. (Thailand)		Director							
3.7	Taisho Vietnam Co., Ltd.		Director							

No.	Name of individual/organization	Securities trading account (if any)	Position at the company (if any)	ID no./ Business registration no.	Issued date of ID/ Business registration	Issued place of ID/ Business registration	Address	Number of shares owned (*)	Percentage of share ownership (*)	Note
3.8	Compania Internacional de Comercio, S.A.P,I de C.V.(Mexico)		President Director							
4	Masashi Nakaura		BOD member					0		
Related individual										
4.1	Nanae Nakaura		Wife							
Related organization										
4.1	PT Taisho Pharmaceutical Indonesia		Operational Director							
5	Maki Kamijo		BOD member					0		
Related individuals										
5.1	Setsuko Kamijo		Wife							
5.2	Azusa Kamijo		Offspring							
5.3	Kumiko Kamijo		Mother							
6	Phan Minh Tien		BOD member					0		
Related individuals										
6.1	Phan Minh Dong		Father							
6.2	Le Thi Cuc		Mother							
6.3	Nguyen Thi Viet Thanh		Wife							
6.4	Phan Le Thanh Hoa		Sibling							
6.5	Phan Le Thanh Mai		Sibling							
6.6	Phan Le Nhi Mai		Sibling							
6.7	Phan Le Tam Mai		Sibling							
6.8	Phan Minh Thien		Sibling							
6.9	Phan Nguyen Mai Khoi		Offspring							
6.10	Phan Nguyen An Khoi		Offspring							
6.11	Phan Minh Khoi		Offspring							
7	Do Le Hung		BOD member					0		
Related individuals										
7.1	Do Hoang Long		Offspring							
7.2	Do Hoang Linh		Offspring							
7.3	Do Minh Ngoc		Father							
7.4	Le Thi Hoa Tieu		Mother							
7.5	Do Le Minh		Sibling							
7.6	Do Le Hoang		Sibling							
Related organization										
7.1	Vietnam Dairy Products Joint Stock Company		Independent BOD member Head of the Audit Committee							
8	Le Chanh Dao		Deputy General Director					3,090	0.00%	
Related individuals										
8.1	Huynh Thi Xinh		Mother							

No.	Name of individual/organization	Securities trading account (if any)	Position at the company (if any)	ID no./ Business registration no.	Issued date of ID/ Business registration	Issued place of ID/ Business registration	Address	Number of shares owned (*)	Percentage of share ownership (*)	Note
8.2	Phung Kim Phuong		Wife							
8.3	Le Duc Thang		Sibling							
8.4	Le Van Huu		Sibling							
8.5	Le Thi Hien Luong		Sibling							
8.6	Le Thi Luong Hao		Sibling							
8.7	Le Kien Quoc		Sibling							
8.8	Le Khuong Ninh		Sibling							
8.9	Le Thi My Luong		Sibling							
8.10	Le Trung Luong		Sibling							
8.11	Le Phung Phuong Anh		Offspring							
8.12	Le Phung Duy Anh		Offspring							
9	Nguyen Ngoc Diep		Deputy General Director cum Quality Director					3,180	0.00%	
Related individuals										
9.1	Duong Thi Kim Tuyen		Mother							
9.2	Nguyen Kim Chi		Sibling							
9.3	Nguyen Ngoc Buu		Sibling							
9.4	Nguyen Van Thuan		Husband							
9.5	Nguyen Hoang Khang		Offspring							
9.6	Nguyen Ngoc Kim Khanh		Offspring							
10	Tomoyuki Kawata		Deputy General Director					0		
Related individuals										
10.1	Yukiko Kawata		Wife							
10.2	Risa Kawata		Offspring							
10.3	Miki Kawata		Offspring							
10.4	Yuri Kawata		Offspring							
11	Le Thi Hong Nhung		Finance Director – BOD Secretary - Authorized person to disclose information - The person in charge of Corporate Governance					7,426	0.01%	
Related individuals										
11.1	Le Anh Tuan		Father							
11.2	Tran Thi Theu		Mother							
11.3	Le Hoang Nam		Husband							
11.4	Le Thi Hong Gam		Sibling							
11.5	Le Thi Hong Voc		Sibling							
11.6	Le Thi Thanh Bac		Sibling							
11.7	Le Thu Hien		Sibling							
11.8	Le Nguyen Hung		Offspring							
11.9	Le Nhat Vuong		Offspring							
12	Lam Dieu Phi		Marketing Director					9,744	0.01%	
Related individuals										
12.1	Lam Van Men		Father							
12.2	To Thuc Khen		Mother							

No.	Name of individual/organization	Securities trading account (if any)	Position at the company (if any)	ID no./ Business registration no.	Issued date of ID/ Business registration	Issued place of ID/ Business registration	Address	Number of shares owned (*)	Percentage of share ownership (*)	Note
12.3	Le Thi Linh Chi		Wife							
12.4	Lam Phuc Nguyen		Offspring							
12.5	Lam Khoi Nguyen		Offspring							
12.6	Lam Ngoc Chi		Sibling							
12.7	Lam Ngoc Huong		Sibling							
12.8	Lam Ngoc Nhi		Sibling							
12.9	Lam Dieu Quoc		Sibling							
Related organization										
12.1	Vinh Hao Algae Processing Joint Stock Company		BOD Chairman							
13	Nguyen Hoang Nam		Production Director					1,660	0.00%	
Related individuals										
13.1	Nguyen Van Tam		Father							
13.2	Tran Thi Anh Hoa		Mother							
13.3	Ong Thanh Kieu		Wife					1,843	0.00%	
13.4	Nguyen Quoc Viet		Sibling							
13.5	Nguyen Thanh Hai		Sibling							
13.6	Nguyen Thanh Thuy		Sibling							
13.7	Nguyen Thanh Binh		Sibling							
13.8	Nguyen Thanh Dien		Sibling							
13.9	Nguyen Hoang Tho		Offspring							
13.10	Nguyen Hoang Thao		Offspring							
14	Huynh Thi Kim Tui		Distribution Center Director					1,868	0.00%	
Related individuals										
14.1	Nguyen Xuan Huan		Husband							
14.2	Nguyen Xuan Huy		Offspring							
14.3	Nguyen Huynh Nhat Huyen		Offspring							
14.4	Huynh Thi Cam Hong		Sibling							
14.5	Huynh Thi Cam Thu		Sibling							
14.6	Huynh Hoa Dan		Sibling							
14.7	Huynh Thi Kim Viet		Sibling							
14.8	Huynh Thi Kim Sang		Sibling					15	0.00%	
14.9	Huynh Thi Kim Thanh		Sibling					15	0.00%	
14.10	Huynh Thi Ut		Sibling							
15	Vo Van Kim Y		Technical Director					1,665	0.00%	
Related individuals										
15.1	Cao Thi Minh Tuyet		Mother							
15.2	Vo Ton Nguyen		Sibling							
15.3	Vo Thi Hien		Sibling							
15.4	Vo Thi Kim Hien		Sibling							
15.5	Vo Kim Xuong		Sibling							
15.6	Vo Thi Lien		Sibling							
15.7	Vo Van Kim Bang		Sibling							
15.8	Vo Van Kim Van		Sibling							

No.	Name of individual/organization	Securities trading account (if any)	Position at the company (if any)	ID no./ Business registration no.	Issued date of ID/ Business registration	Issued place of ID/ Business registration	Address	Number of shares owned (*)	Percentage of share ownership (*)	Note
16	Atsushi Toyoshima		Supply Chain Director					0		
Related individuals										
16.1	Tran Thi Kim Ngoc		Wife							
16.2	Fumihiko Toyoshima		Offspring							
16.3	Namihiko Toyoshima		Offspring							
17	Ho Buu Huan		Chief Accountant					929	0.00%	
Related individuals										
17.1	Ho Cong Nghe		Father							
17.2	Nguyen Thi Nghiep		Mother							
17.3	Le Thi Kim Lai		Wife							
17.4	Ho Le Kha Han		Offspring							
17.5	Ho Le Khoi Nguyen		Offspring							
17.6	Ho Buu Ky		Sibling							
17.7	Ho Mong Nuong		Sibling							
17.8	Ho Mong Kinh		Sibling							
17.9	Ho Mong Trac		Sibling							

(*) Number of shares owned is in accordance with list of shareholders on 03 July 2019.

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ANNEX III: TRANSACTIONS OF INTERNAL PERSONS AND RELATED PERSONS ON THE COMPANY'S SHARES

No.	Transaction executor	Relationship with internal person	Number of shares owned at the beginning of the period		Number of shares owned at the end of the period		Reasons for increase, decrease (purchase, sale, switch, reward, etc)
			Number of shares	Percentage	Number of shares	Percentage	
Internal persons							
1	Pham Thi Viet Nga	BOD member	200,567	0.15%	8,830	0.01%	Sold 191,737 shares
2	Le Chanh Dao	Deputy General Director	81,967	0.06%	3,090	0.00%	Sold 78,877 shares
3	Nguyen Ngoc Diep	Deputy General Director cum Quality Director	10,548	0.01%	3,180	0.00%	Sold 7,368 shares
4	Tran Quoc Hung	Head of the BOS	15,309	0.01%	1,300	0.00%	Sold 14,009 shares
5	Nguyen Hoang Nam	Production Director	12,179	0.01%	1,660	0.00%	Sold 10,519 shares
6	Vo Van Kim Y	Technical Director	4,848	0.00%	1,665	0.00%	Sold 3,183 shares
Related parties							
1	Trade Union of DHG Pharma	Subordinate unit of DHG Pharma	534,327	0.41%	535,537	0.41%	Ownership transfer of 1,210 shares from employees to the Trade Union in accordance with Regulations on share distribution
2	Huynh Thi Quynh Anh	Related person of Mdm. Pham Thi Viet Nga	9,281	0.01%	-	0.00%	Sold 9,281 shares
3	Doan Dinh Tuan Huy	Related person of Mr. Doan Dinh Duy Khuong	3,789	0.00%	3,769	0.00%	Sold 20 shares
4	Phung Kim Phuong	Related person of Mr. Le Chanh Dao	768	0.00%	-	0.00%	Sold 768 shares
5	Nguyen Xuan Huan	Related person of Mrs. Huynh Thi Kim Tui	3,552	0.00%	-	0.00%	Sold 3,552 shares
6	Le Hoang Nam	Related person of Mrs. Le Thi Hong Nhung	123	0.00%	-	0.00%	Sold 123 shares
7	Taisho Pharmaceutical Co., Ltd.	Related organization of Mr. Jun Kuroda – BOD member	44,835,886	34.29%	66,697,052	51.01%	Purchased 21,861,166 shares

[NOTICE: This Convocation Notice is a translation of the Vietnamese language original for convenience purpose only, and in the event of any discrepancy, the Vietnamese language original shall prevail.]